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(54) **METHOD AND APPARATUS FOR ALIGNING AN OPTIC FIBER WITH AN OPTO-ELECTRONIC DEVICE**

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(58) **Field of Search** ..... **385/49, 88, 89, 385/14; 29/846, 847, 850, 852, 853**

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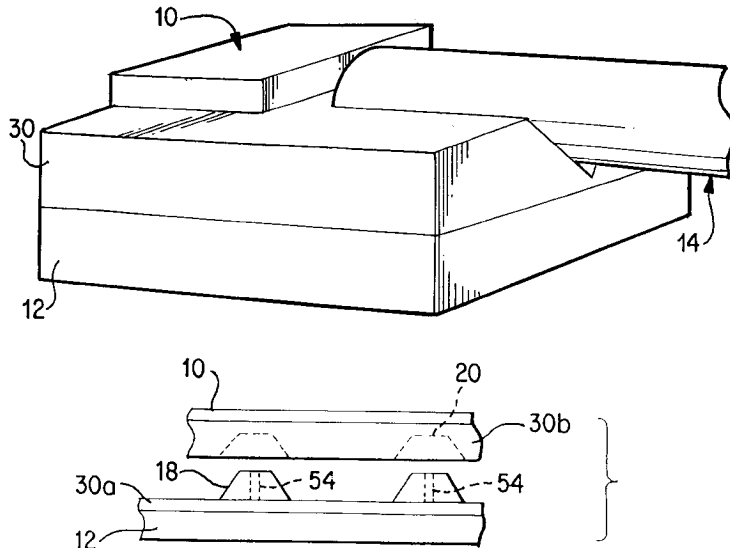
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(57) **ABSTRACT**

The present invention provides a method and apparatus for mounting an opto-electronic device on a substrate and aligning it with an optic fiber. The opto-electronic device is mounted on the substrate and aligned with the optic fiber by using a plurality of complementary features formed on the substrate and the opto-electronic device. An additional feature is formed on the substrate for holding the optic fiber in a predetermined alignment relative to the substrate. The complementary features are sized and configured to engage each other to provide a bonding surface for joining the opto-electronic device to the substrate. In addition, the features are arranged to provide an aligning function so that the opto-electronic device can only have one orientation when mounted on the substrate, and that orientation is selected to align the output of the opto-electronic device with the optic fiber.

**22 Claims, 3 Drawing Sheets**



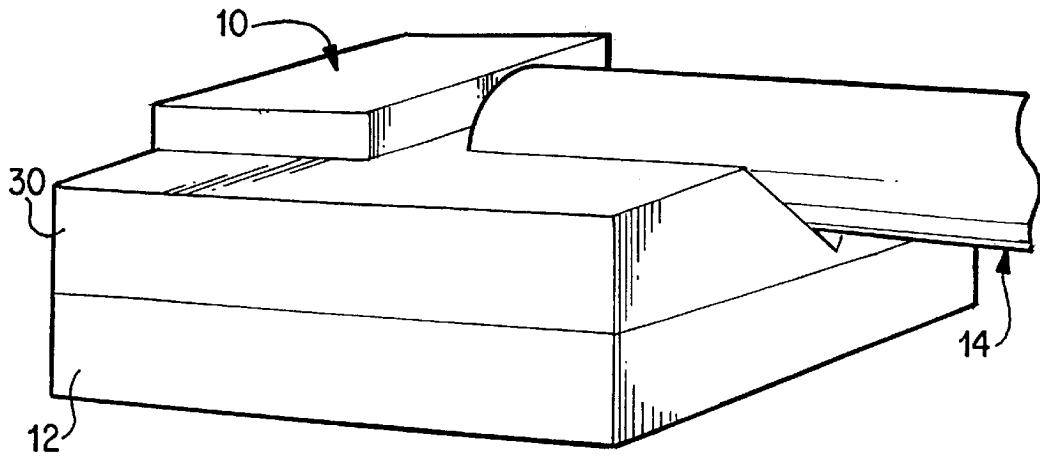


FIG. 1

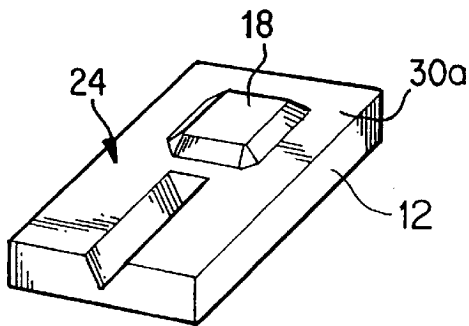


FIG. 2a

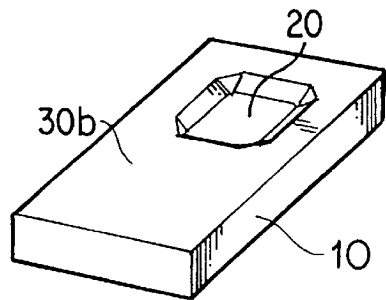


FIG. 2b

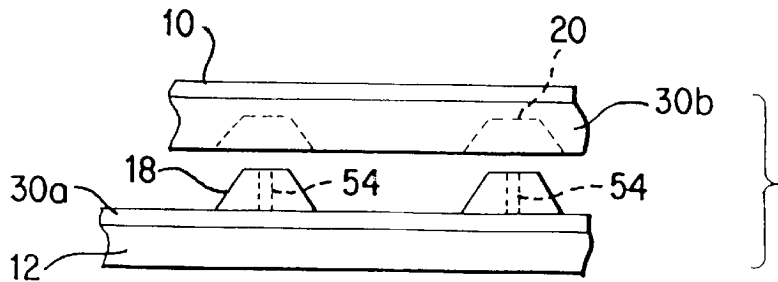


FIG. 3

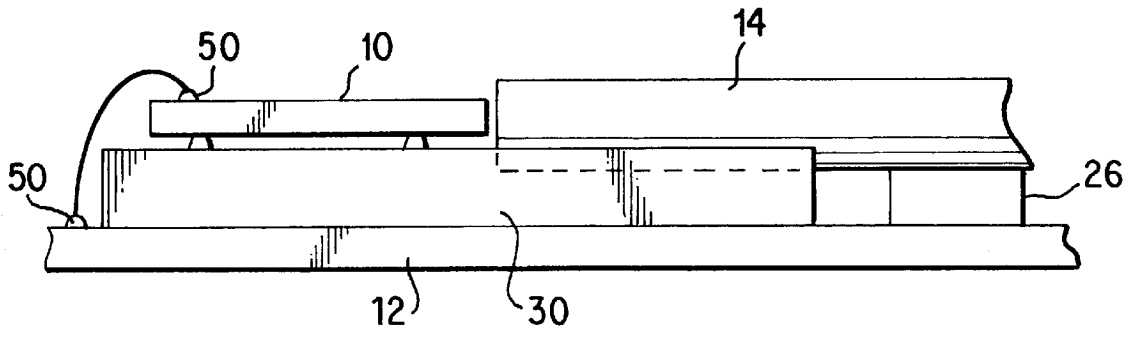


FIG. 4

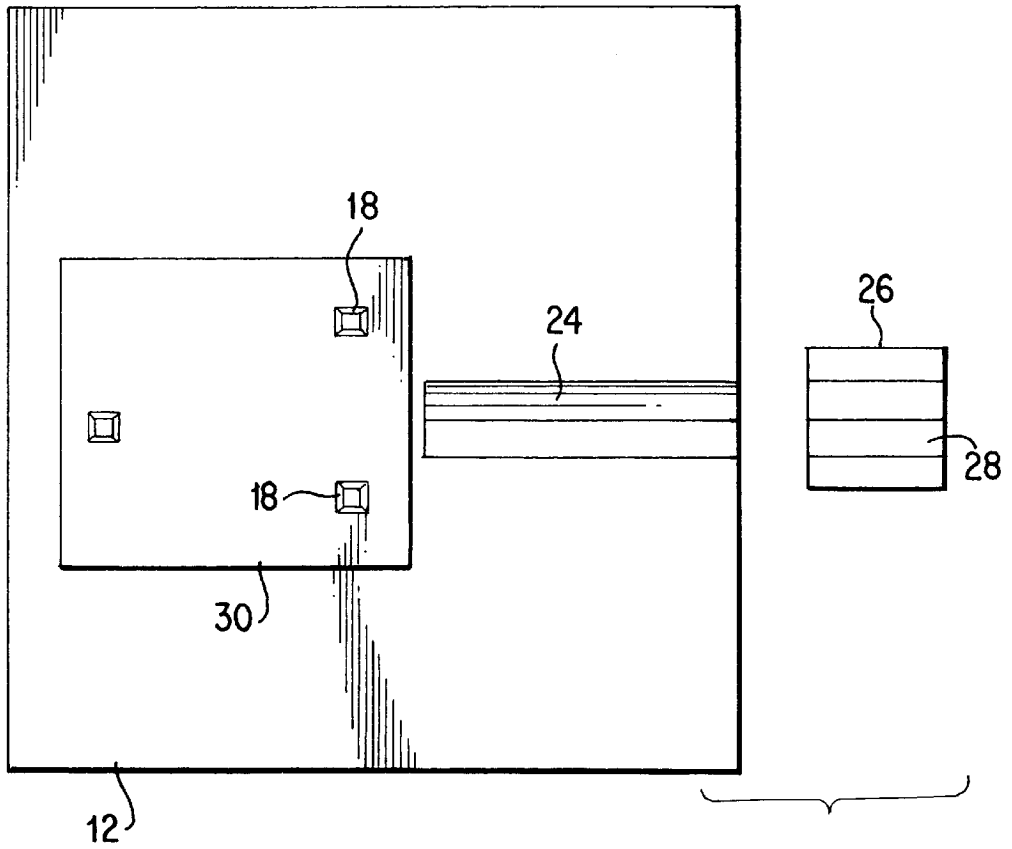


FIG. 5

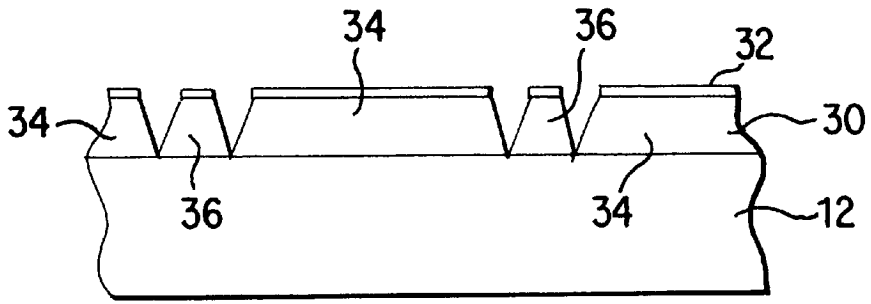


FIG. 6a

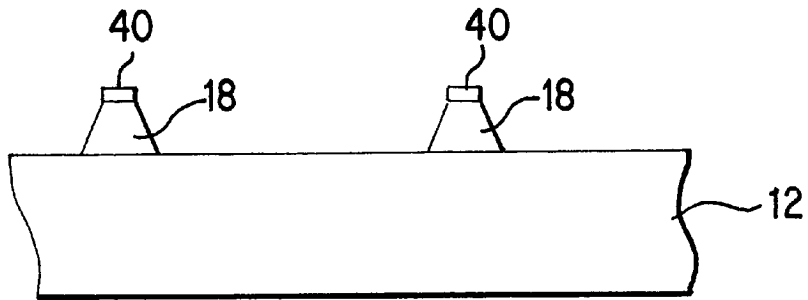


FIG. 6b

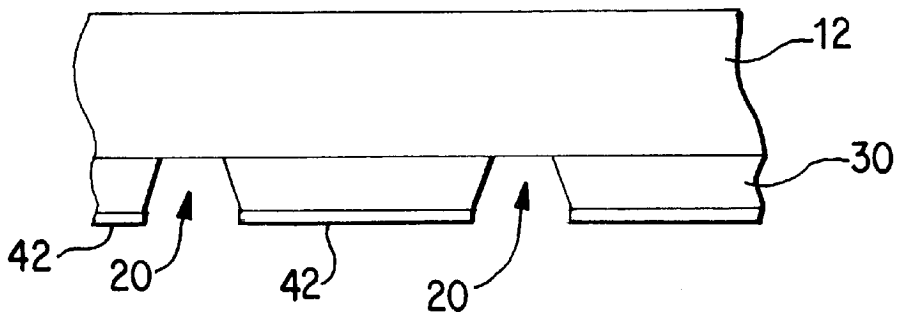


FIG. 6c

## METHOD AND APPARATUS FOR ALIGNING AN OPTIC FIBER WITH AN OPTO-ELECTRONIC DEVICE

The present invention relates to methods and apparatus for coupling an optic fiber to the output of an opto-electronic device. In particular, the invention relates to methods and apparatus for easily aligning the optic fiber with the output of the opto-electronic device using complementary features formed on mounting surfaces of a substrate and the opto-electronic device.

### BACKGROUND OF THE INVENTION

The speed of computers has been continuously increasing in recent years. Physical size limitations of the components, however, are imposing limitations on future speed increases. That is, the dimensions of the conductors formed in the integrated circuits have shrunk to the point the conductors are themselves beginning to restrict current flow.

One approach to increasing the speed of computers has been to use light to transmit data between components of a computer. The use of fiber optic transmission lines has several advantages over conventional electrical cables. For example, fiber optic materials frequently cost less than electrical cables. Moreover, the power required to drive optic signals is frequently less than that required for many electrical cables. Furthermore, optical transmission of data provides an enhanced rate of information transfer over longer distances. Using optical transmission, manufacturers can mount a light source on one component, such as a microprocessor, and a receiver on another component, such as a memory device, and connect the light source and the receiver with an optic fiber.

In practice, manufacturers use transceivers that convert electrical signals to transmitted optical signals and convert received optical signals to electrical signals. These transceivers, known as opto-electronic devices, must be precisely aligned with optical fibers to transmit the data between various components. A major disadvantage of the use of opto-electronic devices is the difficulty of aligning the output of the opto-electronic device with the optic fiber. In particular, current alignment procedures are very labor intensive using very expensive machines. Hence, their cost makes them relatively expensive and generally limits their applicability. If the alignment could be automated, a substantial savings in manufacturing time and money could be achieved, allowing wider application of the technology.

### SUMMARY OF THE INVENTION

The present invention overcomes these disadvantages by providing a system for easily aligning an optic fiber with an opto-electronic device and providing a method for aligning the fiber and device that can be readily automated. The system comprises a substrate having a first mounting surface with a first plurality of features and an opto-electronic device having a second mounting surface with a second plurality of features. The second plurality of features is complementary to, and aligned for engagement with, the first plurality of features. The first plurality of features is positioned to align the output of the opto-electronic device with the optic fiber when they engage the second plurality of features. The first mounting surface also includes an optic fiber mounting feature, the feature being axially aligned with the output of the opto-electronic device.

In preferred embodiments of the invention, the first plurality of features includes a plurality of posts projecting

upwardly from the first mounting surface and the second plurality of features includes a plurality of complementary recesses in the second mounting surface. According to one aspect of the invention, the first plurality of features and the second plurality of features include a data transmission pathway between the substrate and the opto-electronic device.

A method of aligning an optical fiber with an opto-electronic device comprises the steps of providing a substrate with a mounting surface, providing a first plurality of features on the mounting surface, providing a second plurality of features on the opto-electronic device that are complementary to the first plurality of features and configured to engage the first plurality of features, and mounting the opto-electronic device on the mounting surface with the first plurality of features engaging the second plurality of features. The method further includes the step of providing an optic fiber-mounting feature on the mounting surface that is axially aligned with an output axis of the opto-electronic device.

In preferred methods, the step of providing a first plurality of features on the mounting surface includes the step of etching a plurality of posts onto the mounting surface, the step of providing a second plurality of features on the opto-electronic device includes the step of etching a plurality of recesses into a surface of the opto-electronic device, and the step of providing an optic fiber-mounting feature includes the step of etching a channel into the mounting surface.

According to one aspect of the invention, the step of providing a first plurality of features includes the steps of determining a plurality of etching points, etching the mounting surface at the plurality of etching points to define a perimeter around each feature of the first plurality of features, and removing a portion of the mounting surface outside the perimeter of each feature. The step of providing a second plurality of features includes the steps of determining a plurality of etching points, etching the mounting surface at the plurality of etching points to define a perimeter around each feature of the second plurality of features, and removing a portion of the mounting surface inside the perimeter of each feature.

These and other features and advantages of the invention will become apparent from the following detailed description of preferred embodiments of the present invention.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view of a optic fiber and an opto-electronic device mounted on a substrate with the optic fiber being aligned with the opto-electronic device;

FIGS. 2a, 2b illustrate a post and optic fiber-receiving channel formed in a mounting surface and a recess formed in a mounting surface;

FIG. 3 is a side view of an opto-electronic device in position above a substrate with recesses in the device aligned with posts on the substrate;

FIG. 4 is a side view of an opto-electronic device and optic fiber mounted on a substrate;

FIG. 5 is a plan view of the substrate illustrating a plurality of posts and an optic fiber-receiving channel formed on the substrate; and

FIGS. 6a, 6b, and 6c illustrate methods of manufacturing posts and recesses in the mounting surface of a substrate or opto-electronic device.

### DETAILED DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates an opto-electronic device **10** mounted on a substrate **12** and aligned with an optic fiber **14** in accor-

dance with the present invention. The substrate **12** can be a silicon substrate having embedded circuitry to form, for example, a microprocessor, memory device, IC, or the like, and the opto-electronic device **10** can be a laser diode or other light source, a photoreceiver or the like, or a transceiver. The optic fiber **14** is preferably a multifiber optic cable. The opto-electronic device **10** is mounted on the substrate **12** and aligned with the optical axis of the optic fiber **14** by using a plurality of complementary features formed on a mounting surface **30a** of the substrate **12** and mounting surface **30b** of the opto-electronic device **10**. An additional feature is formed on the substrate **12** for holding the optic fiber **14** in a predetermined alignment relative to the substrate **12**. The complementary features are sized and configured to engage each other to provide a bonding surface for joining the device **10** to the substrate **12**. In addition, the features are arranged to provide an aligning function so that the device **10** can only have one orientation when mounted on the substrate **12**, and that orientation is selected to align the output of the device **10** with the optic fiber **14**.

In preferred embodiments, the complementary features can be posts **18**, illustrated in FIG. **2a**, formed on either the substrate **12** or the opto-electronic device **10** and matching recesses **20**, illustrated in FIG. **2b**, formed on the other. FIGS. **2a**, **2b** illustrate only a portion of the mounting surface **30** and only show a single post **18** and recess **20**, respectively. It will be appreciated that, preferably, three or more posts **18** and recesses **20** will be formed on the mounting surfaces **30a**, **30b** so as to provide only one possible alignment. Alternatively, a single non-symmetrical post **18** and recess **20** can be used, but multiple posts **18** and recesses **20** are preferred. When the posts **18** and recesses **20** have been formed, the opto-electronic device **10** can be mounted on the substrate, as illustrated in FIG. **3**. It is also possible to provide a mix of posts **18** and recesses **20** on each, so long as they are arranged to provide the aligning function. The optic fiber alignment feature can be a V-shaped channel **24**, illustrated in FIG. **2a**, for receiving the optic fiber **14** and retaining it in a predetermined alignment relative to the device **10**, aligned by posts **18** and recesses **20**.

As illustrated in FIG. **4**, the opto-electronic device **10** and substrate **12** can include bonding pads **50** to provide an electrical connection therebetween. Alternatively, the etched posts and recesses can be used to provide an electrical pathway between the device **10** and the substrate **12**. For example, the posts can include one or more conductors **54** electrically coupled to a terminal of the substrate **12**, illustrated in FIG. **3**, and the recesses can likewise contain mating conductors located at a terminal of the opto-electronic device **10**. Thus, when the posts engage the recesses, the posts provide an electrical pathway for data or power to pass between the substrate **12** and the opto-electronic device **10**. It will be understood that other conventional means can be used to electrically couple the opto-electronic device **10** to the substrate **12**.

The posts **18** and recesses **20** are preferably arranged so that there is only one way of mounting the opto-electronic device **10** on the substrate **12**. For example, as illustrated in FIG. **5**, the posts can be arranged in a triangular pattern (non-equilateral triangle) such that recesses **20** on the opto-electronic device **10** will only align with and engage the posts **18** on the substrate **12** if the output axis of the opto-electronic device **10** is aligned with an optic fiber **14** disposed in the channel **24**. A multifiber optic cable is preferred because it will ensure that at least one fiber will be

aligned with the output of the opto-electronic device **10** to carry the optical signal. As illustrated in FIGS. **4**, **5**, a support block **26** for supporting the optic fiber **14** can be located on, or adjacent, the substrate **12**, and can include a channel **28** aligned with channel **24** (FIG. **5**). The support block can be bonded directly to the substrate or can include parts **18** recesses **20** so that it can be aligned with the channel **24**.

Referring to FIG. **5**, the channel **24** is disposed to align the optical axis of the optic fiber **14** with the output axis of the opto-electronic device **10**. In particular, the axis of the channel **24** is etched into the mounting surface **12** in a predetermined relationship with the posts **18** to ensure proper alignment. Preferably, a multifiber optic cable can be used to facilitate alignment although a single fiber may be used. A multifiber cable ensures that at least one fiber is aligned with the output of the opto-electronic device **10**. Accordingly, a machine can be programmed to mount the opto-electronic device **10** and the optic fiber at a substantial reduction in cost and time.

In operation, the posts **18** and recesses **20** can be formed using conventional deposition and etching techniques. As is known, a mounting surface **30** can be formed on a substrate by standard deposition techniques. Using a mask **32**, a particular pattern can be formed in the mounting surface **30** by etching away appropriate portions of the mounting surface by applying an etching material. For example, a mounting surface **30** made of silicon (Si) can be etched by applying an etching substance, such as potassium hydroxide (KOH).

It is known that certain etching materials always etch features having the same slope. For example, KOH always etches 111—monocrystalline at a 55° slope, as illustrated in FIGS. **6a–6c**. Thus, each pit etched into the silicon surface has sidewalls with a 55° slope. Taking advantage of that fact, it is possible to etch closely matching posts and recesses in opposing surfaces of items that are to be joined together. For example, a plurality of pits can be etched in the silicon such that the pits cooperate to define a perimeter around a post or recess, as illustrated in FIG. **6a**. Once the perimeter has been formed, the silicon outside the perimeter, indicated at **34**, can be etched away, leaving a post **18** projecting upwardly from the substrate **12**. On the other hand, if the material within the perimeter, indicated at **36**, is etched away, a recess **20** is formed in the silicon layer. Alternatively, a mask **40** may be applied to the silicon to define the top surface **18a** of the posts and the remaining surface area can be etched away to a predetermined depth, as illustrated in FIG. **6b**, providing posts **18** with 55° sloping sides. Similarly, a mask **42** can be applied to the mounting surface to define the recess **20**, so that subsequent etching removes material to form the recess **20**, as illustrated in FIG. **6c**. With respect to the channel **24**, an appropriate mask is used for etching to form a longitudinal channel, again with 55° sloping sides. Of course, other combinations of deposition and etching materials are available that will provide posts and recesses having sides with slopes other than 55 degrees.

It will be apparent that, by proper placement of a mask **40**, **42**, posts can be etched onto the mounting surface of either the substrate **12** or the opto-electronic device **10** and matching recesses can be etched into the surface of the other. Once the posts and recesses are formed, the items can be joined together by bonding the posts to the recesses, making sure that good electrical contact is obtained between device **10** and device **12**.

Although the invention has been described with respect to mounting an opto-electronic device on a substrate for pur-

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poses of data transmission, it will be apparent to those of ordinary skill in the art that the invention is more widely applicable. For example, the substrate can be a test fixture for testing lasers or other opto-electronic devices.

The above descriptions and drawings are only illustrative of the preferred embodiments which achieve the objects, features and advantages of the present invention, and it is not intended that the present invention be limited thereto. Any modification of the present invention which comes within the spirit and scope of the following claims is considered part of the present invention.

What is claimed as new and desired to be protected by Letters Patent of the United States is:

1. A system for aligning an optic fiber with an opto-electronic device, the system comprising:

a substrate having a first mounting surface with a first plurality of features; and

an opto-electronic device having a second mounting surface with a second plurality of features, the second plurality of features being complementary to, and aligned for engagement with, the first plurality of features, the first plurality of features being positioned to align the output of the opto-electronic device in a predetermined orientation when they engage the second plurality of features, wherein

at least one feature of the first plurality of features and the second plurality of features include an electrical pathway within said at least one feature for interconnecting the substrate and the opto-electronic device.

2. The system of claim 1 wherein the first mounting surface includes an optic fiber mounting feature for mounting at least one optic fiber on said substrate, the feature being axially aligned with an optical axis of the opto-electronic device.

3. The system of claim 2 wherein the first plurality of features includes a plurality of posts projecting upwardly from the first mounting surface and the second plurality of features includes a plurality of complementary recesses in the second mounting surface.

4. The system of claim 2 wherein the first plurality of features includes a plurality of posts projecting from the second mounting surface and the second plurality of features includes a plurality of complementary recesses formed in the first mounting surface.

5. The system of claim 2 wherein the optic fiber mounting feature includes a fiber-receiving channel, the channel including a pair of sidewalls, each sidewall having a 55 degree slope.

6. The system of claim 1 wherein the opto-electronic device includes at least one first bonding pad and the substrate includes at least one second bonding pad, the at least one first bonding pad and the at least one second bonding pad being electrically interconnected.

7. The system of claim 1 wherein the substrate includes one of a microprocessor, a memory device, an IC, and an opto-electronic device.

8. A system for aligning an optic fiber to an opto-electronic device, the system comprising:

a substrate having a first mounting surface;

at least one optic fiber coupled to the first surface; and

an opto-electronic device having a second mounting surface, a plurality of spaced-apart posts projecting from one of the first and second mounting surfaces and a plurality of spaced-apart recesses formed in the other of the first and second mounting surfaces, the recesses being located for engagement with the posts, the posts

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and recesses being positioned to align an output of the opto-electronic device with said at least one optic fiber, wherein

at least one of said spaced-apart posts includes an electrical pathway within said at least one spaced-apart posts for interconnecting the substrate and the opto-electronic device.

9. The system of claim 8 further including a cable receiving groove formed in the first mounting surface, and wherein said at least one optic fiber is a cable of optic fibers, said groove aligning said cable with the output of the opto-electronic device.

10. A method comprising the steps of:

providing a mounting surface;

providing a first plurality of features on the mounting surface;

providing a second plurality of features on an opto-electronic device, the second plurality of features being complementary to the first plurality of features and configured to engage the first plurality of features so as to allow the opto-electronic device to be mounted to the mounting surface in one predetermined orientation;

providing an electrical pathway within at least one feature of said first plurality and second plurality of features for interconnecting the mounting surface and the opto-electronic device, and

mounting the opto-electronic device on the mounting surface with the first plurality of features engaging the second plurality of features.

11. The method of claim 10 further including a step of providing an optic fiber-mounting feature on the mounting surface, the optic fiber-mounting feature being axially aligned with an optical axis of the opto-electronic device.

12. The method of claim 11 wherein the step of providing a first plurality of features on the mounting surface includes a step of etching a plurality of posts onto the mounting surface and the step of providing a second plurality of features on the opto-electronic device includes a step of etching a plurality of recesses into a surface of the opto-electronic device and the step of providing an optic fiber-mounting feature includes the step of etching a channel into the mounting surface.

13. The method of claim 10 wherein the step of providing a first plurality of features on the mounting surface includes a step of etching a plurality of posts onto the mounting surface and the step of providing a second plurality of features on the opto-electronic device includes a step of etching a plurality of recesses into a surface of the opto-electronic device and the step of providing an optic fiber-mounting feature includes the step of etching a channel into the mounting surface.

14. The method of claim 10 wherein the step of providing a first plurality of features includes the steps of determining a plurality of etching points, etching the mounting surface at the plurality of etching points to define a perimeter around each feature of the first plurality of features, and removing a portion of the mounting surface outside the perimeter of each feature.

15. The method of claim 10 wherein the step of providing a second plurality of features includes the steps of determining a plurality of etching points, etching the mounting surface at the plurality of etching points to define a perimeter around each feature of the second plurality of features, and removing a portion of the mounting surface inside the perimeter of each feature.

16. A method comprising the steps of:  
 providing a first mounting surface on a substrate;  
 providing a second mounting surface on an opto-  
 electronic device;  
 etching a plurality of posts onto one of the first and second  
 mounting surfaces;  
 etching a plurality of post-receiving recesses into the  
 other of the first and second mounting surfaces;  
 providing an electrical pathway for interconnecting the  
 substrate and the opto-electronic device within at least  
 one of said plurality of posts; and  
 positioning the opto-electronic device on the first mount-  
 ing surface with the recesses aligned to receive the  
 posts.

17. The method of claim 16 further including the step of  
 etching an optic fiber-receiving channel in the first mounting  
 surface, the longitudinal axis of the channel being aligned  
 with an optical axis of the opto-electronic device.

18. A system for aligning an optic fiber with an opto-  
 electronic device, the system comprising:

- a substrate having a mounting surface with a plurality of  
 etched posts and an etched optic fiber aligning channel  
 disposed in a predetermined relationship with the posts;  
 and
- an opto-electronic device having a mounting surface with  
 a plurality of etched recesses, the recesses being  
 complementary to, and located to receive, the plurality  
 of posts, wherein
- at least one of said posts includes an electrical pathway  
 within said at least one of said posts for interconnecting  
 the substrate and the opto-electric device.

19. The system of claim 18 further comprising an elec-  
 trical connector attached to at least one of the posts and at  
 least one of the recesses such that said electrical pathway  
 interconnects the substrate and the opto-electronic device  
 when said plurality of recesses has received said plurality of  
 etched posts.

20. A die for transmitting data via an optical data path  
 comprising:

- a mounting surface;
- an opto-electronic device having an output axis;
- a plurality of posts extending upwardly from the mount-  
 ing surface, the posts being disposed in a predeter-  
 mined pattern about the output axis of the opto-  
 electronic device, at least one of the posts also  
 including an electrical pathway within said at least one  
 of the posts for interconnecting the mounting surface  
 and the opto-electronic device; and
- a channel formed on the mounting surface for receiving  
 an optic fiber, a longitudinal axis of the channel being  
 aligned with the output axis of the opto-electronic  
 device.

21. The die of claim 20 further including an opto-  
 electronic device having a plurality of recesses aligned to  
 receive the posts, the opto-electronic device being bonded to  
 the die at points of contact between the posts and recesses.

22. The die of claim 21 wherein the mounting surface  
 includes a first plurality of bonding pads and the opto-  
 electronic device includes a second plurality of bonding  
 pads electrically coupled to the first plurality of bonding  
 pads.

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